

# Frontiers of Natural Language Processing

Deep Learning Indaba 2018, Stellenbosch, South Africa

Sebastian Ruder, Herman Kamper, Panellists, Leaders in NLP, Everyone



DEEP LEARNING  
INDABA



# Goals of session

1. What is NLP? What are the major developments in the last few years?
2. What are the biggest open problems in NLP?
3. Get to know the local community and start thinking about collaborations

What is NLP? What were the major advances?

## **A Review of the Recent History of NLP**

What is NLP? What were the major advances?

# **A Review of the Recent History of NLP**

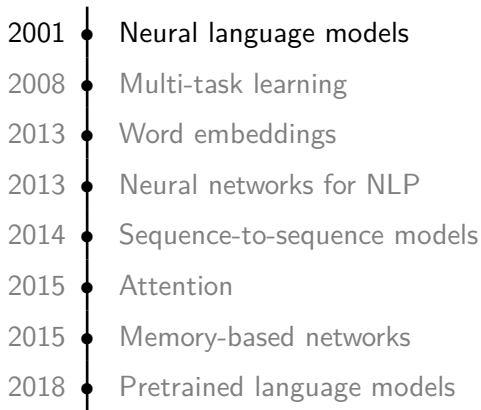


Sebastian Ruder

# Timeline

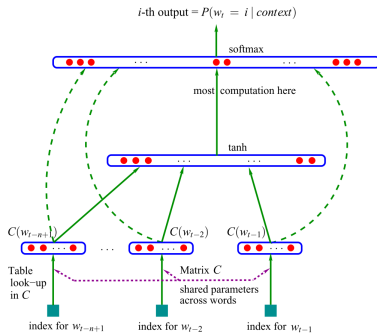
- 
- A vertical timeline with a central black line and dots. The years are listed on the left, and the corresponding research milestones are listed on the right.
- 2001 • Neural language models
  - 2008 • Multi-task learning
  - 2013 • Word embeddings
  - 2013 • Neural networks for NLP
  - 2014 • Sequence-to-sequence models
  - 2015 • Attention
  - 2015 • Memory-based networks
  - 2018 • Pretrained language models

# Timeline



# Neural language models

- Language modeling: predict next word given previous words
- Classic language models:  $n$ -grams with smoothing
- First neural language models: feed-forward neural networks that take into account  $n$  previous words
- Initial look-up layer is commonly known as word embedding matrix as each word corresponds to one vector

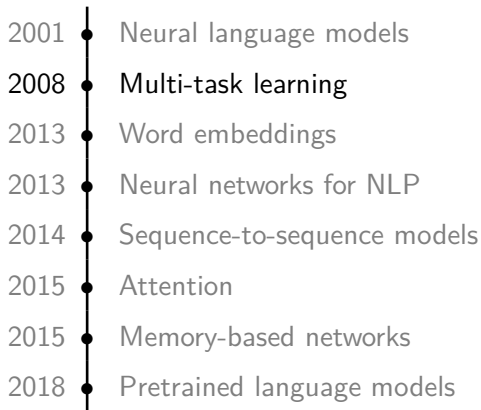


# Neural language models

- Later language models: RNNs and LSTMs [Mikolov et al., Interspeech '10]
- Many new models in recent years; classic LSTM is still a strong baseline [Melis et al., ICLR '18]
- Active research area: What information do language models capture?
- Language modelling: despite its simplicity, core to many later advances
  - Word embeddings: the objective of word2vec is a simplification of language modelling
  - Sequence-to-sequence models: predict response word-by-word
  - Pretrained language models: representations useful for transfer learning

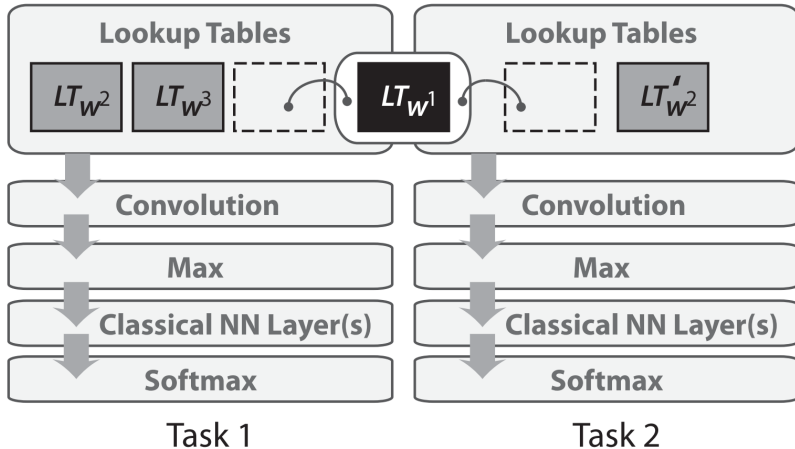


# Timeline



# Multi-task learning

- Multi-task learning: sharing parameters between models trained on multiple tasks

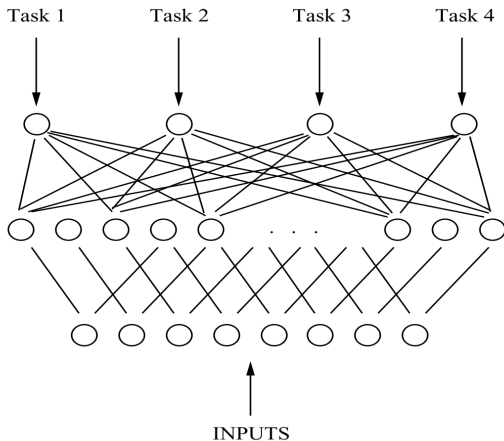


# Multi-task learning

- [Collobert & Weston, ICML '08] won Test-of-time Award at ICML 2018
- Paper contained a lot of other influential ideas:
  - Word embeddings
  - CNNs for text

# Multi-task learning

- Multi-task learning goes back a lot further

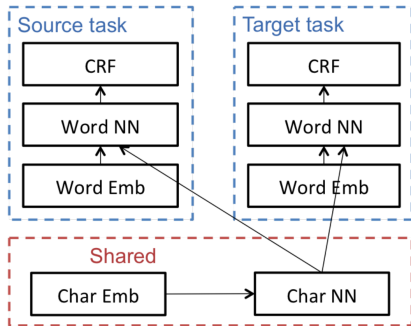
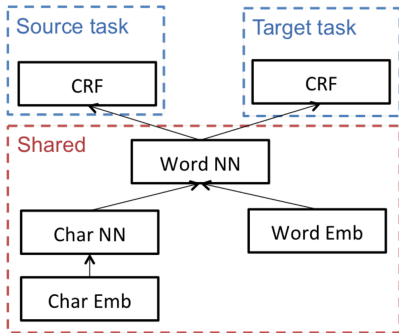


# Multi-task learning

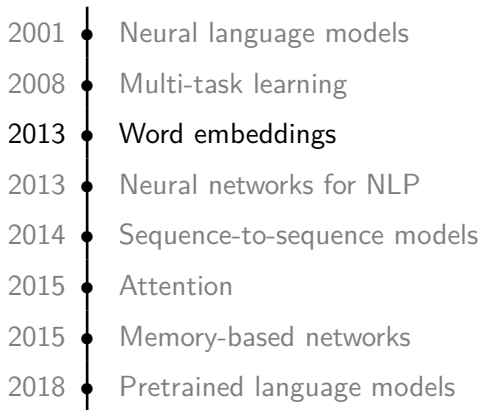
- “Joint learning” / “multi-task learning” used interchangeably
- Now used for many tasks in NLP, either using existing tasks or “artificial” auxiliary tasks
  - MT + dependency parsing / POS tagging / NER
  - Joint multilingual training
  - Video captioning + entailment + next-frame prediction [Pasunuru & Bansal; ACL '17]
  - ...

# Multi-task learning

- Sharing of parameters is typically predefined
- Can also be learned [Ruder et al., '17]

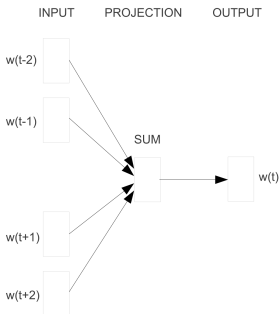


# Timeline

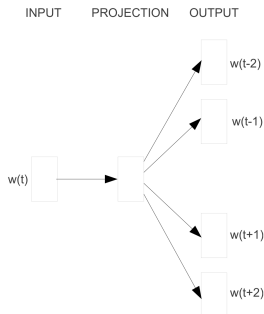


# Word embeddings

- Main innovation: pretraining word embedding look-up matrix on a large unlabelled corpus
- Popularized by word2vec, an efficient approximation to language modelling
- word2vec comes in two variants: skip-gram and CBOW



**CBOW**

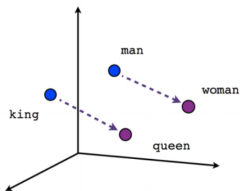


**Skip-gram**

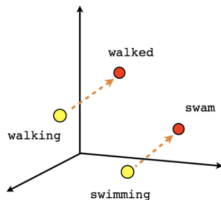


# Word embeddings

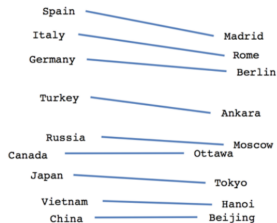
- Word embeddings pretrained on an unlabelled corpus capture certain relations between words



Male-Female



Verb tense



Country-Capital

# Word embeddings

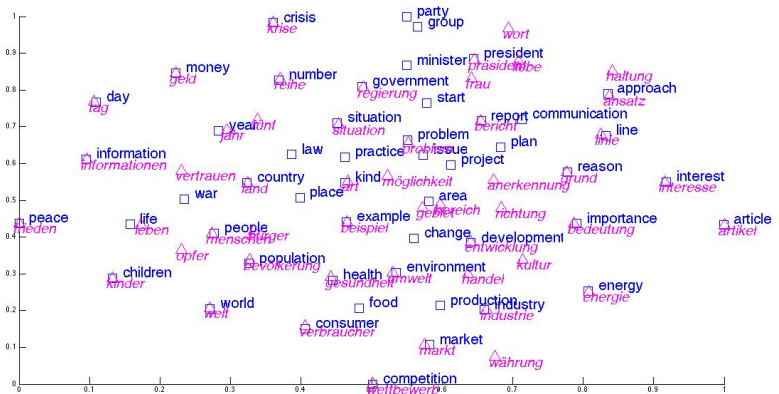
- Pretrained word embeddings have been shown to improve performance on many downstream tasks [Kim, EMNLP '14]
- Later methods show that word embeddings can also be learned via matrix factorization [Pennington et al., EMNLP '14; Levy et al., NIPS '14]
- Nothing inherently special about word2vec; classic methods (PMI, SVD) can also be used to learn good word embeddings from unlabeled corpora [Levy et al., TACL '15]

# Word embeddings

- Lots of work on word embeddings, but word2vec is still widely used
- Skip-gram has been applied to learn representations in many other settings, e.g. sentences [Le & Mikolov, ICML '14; Kiros et al., NIPS '15], networks [Grover & Leskovec, KDD '16], biological sequences [Asgari & Mofrad, PLoS One '15], etc.

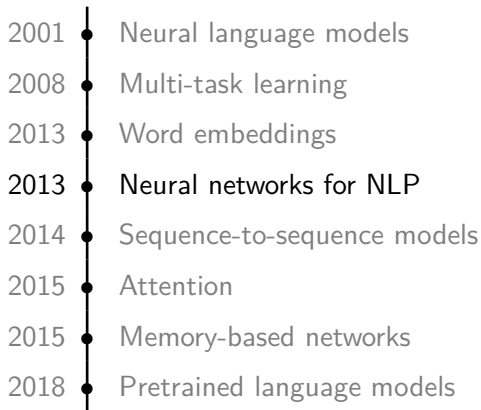
# Word embeddings

- Projecting word embeddings of different languages into the same space enables (zero-shot) cross-lingual transfer [Ruder et al., JAIR '18]



[Luong et al., '15]

# Timeline

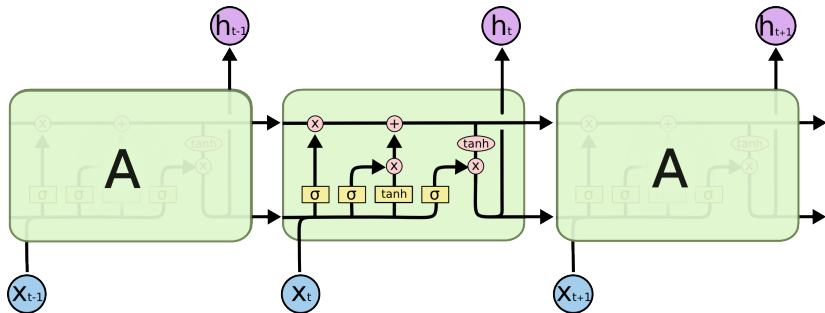


# Neural networks for NLP

- Key challenge for neural networks: dealing with dynamic input sequences
- Three main model types
  - Recurrent neural networks
  - Convolutional neural networks
  - Recursive neural networks

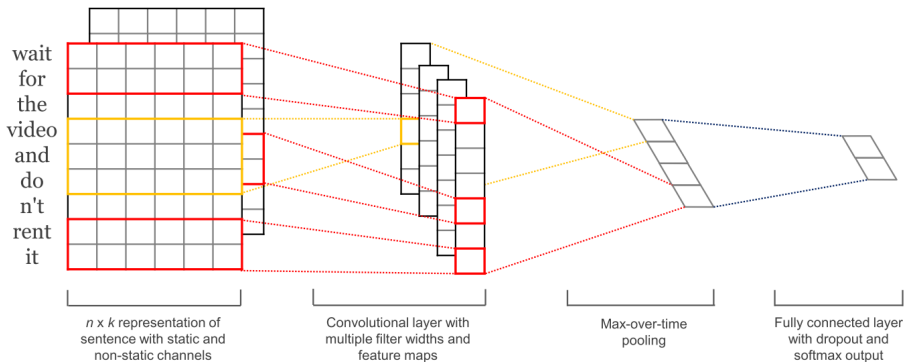
# Recurrent neural networks

- Vanilla RNNs [Elman, CogSci '90] are typically not used as gradients vanish or explode with longer inputs
- Long-short term memory networks [Hochreiter & Schmidhuber, NeuComp '97] are the model of choice



# Convolutional neural networks

- 1D adaptation of convolutional neural networks for images
- Filter is moved along temporal dimension



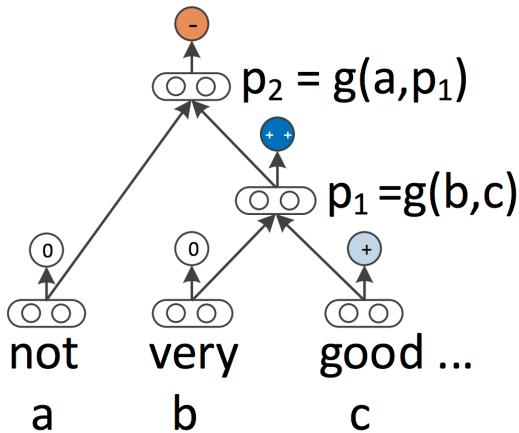


# Convolutional neural networks

- More parallelizable than RNNs, focus on local features
- Can be extended with wider receptive fields (dilated convolutions) to capture wider context [Kalchbrenner et al., '17]
- CNNs and LSTMs can be combined and stacked [Wang et al., ACL '16]
- Convolutions can be used to speed up an LSTM [Bradbury et al., ICLR '17]

# Recursive neural networks

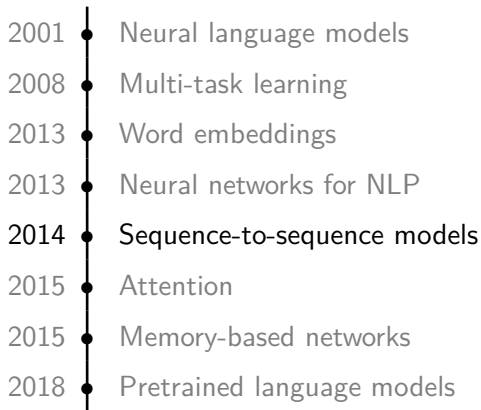
- Natural language is inherently hierarchical
- Treat input as tree rather than as a sequence
- Can also be extended to LSTMs [Tai et al., ACL '15]



# Other tree-based based neural networks

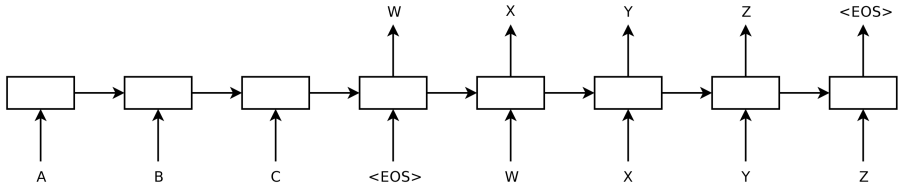
- Word embeddings based on dependencies [Levy and Goldberg, ACL '14]
- Language models that generate words based on a syntactic stack [Dyer et al., NAACL '16]
- CNNs over a graph (trees), e.g. graph-convolutional neural networks [Bastings et al., EMNLP '17]

# Timeline



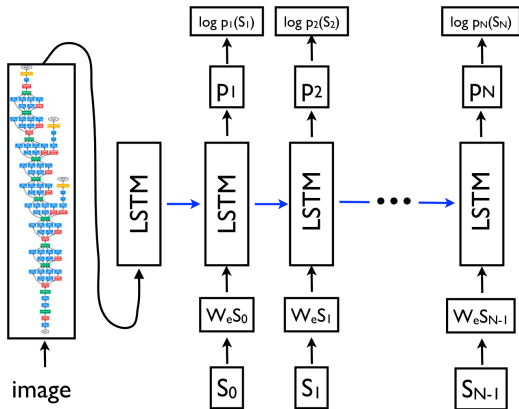
# Sequence-to-sequence models

- General framework for applying neural networks to tasks where output is a sequence
- Killer application: Neural Machine Translation
- Encoder processes input word by word; decoder then predicts output word by word



# Sequence-to-sequence models

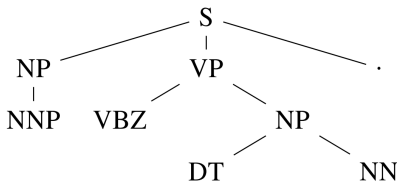
- Go-to framework for natural language generation tasks
- Output can not only be conditioned on a sequence, but on arbitrary representations, e.g. an image for image captioning



# Sequence-to-sequence models

- Even applicable to structured prediction tasks, e.g. constituency parsing [Vinyals et al., NIPS '15], named entity recognition [Gillick et al., NAACL '16], etc. by linearizing the output

John has a dog .      →



John has a dog .      →

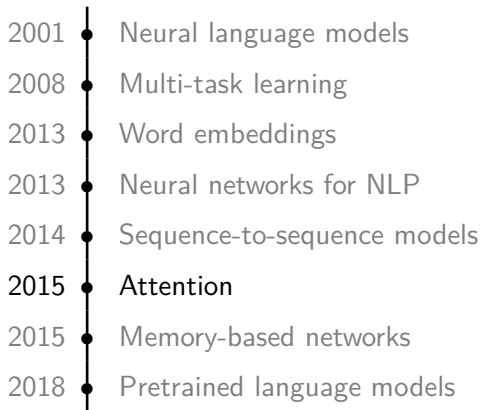
(S (NP NNP )<sub>NP</sub> (VP VBZ (NP DT NN )<sub>NP</sub> )<sub>VP</sub> . )<sub>S</sub>

# Sequence-to-sequence models

- Typically RNN-based, but other encoders and decoders can be used
- New architectures mainly coming out of work in Machine Translation
- Recent models: Deep LSTM [Wu et al., '16], Convolutional encoders [Kalchbrenner et al., arXiv '16; Gehring et al., arXiv '17], Transformer [Vaswani et al., NIPS '17], Combination of LSTM and Transformer [Chen et al., ACL '18]

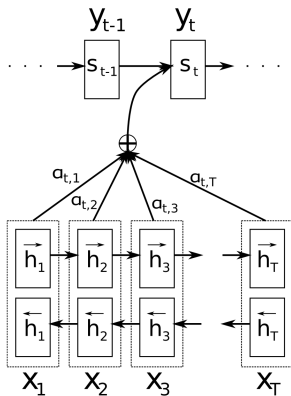


# Timeline



# Attention

- One of the core innovations in Neural Machine Translation
- Weighted average of source sentence hidden states
- Mitigates bottleneck of compressing source sentence into a single vector



# Attention

- Different forms of attention available [Luong et al., EMNLP '15]
- Widely applicable: constituency parsing [Vinyals et al., NIPS '15], reading comprehension [Hermann et al., NIPS '15], one-shot learning [Vinyals et al., NIPS '16], image captioning [Xu et al., ICML '15]

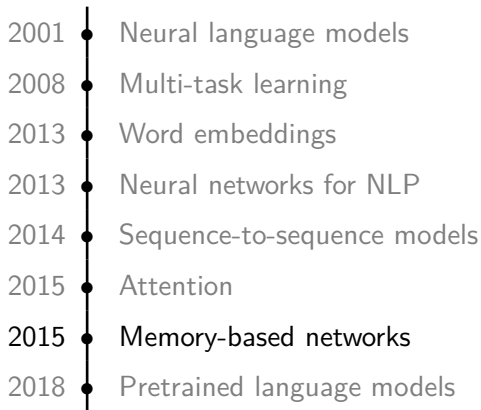


A woman is throwing a frisbee in a park.

# Attention

- Not only restricted to looking at an another sequence
- Can be used to obtain more contextually sensitive word representations by attending to the same sequence → self-attention
- Used in Transformer [Vaswani et al., NIPS '17], state-of-the-art architecture for machine translation

# Timeline



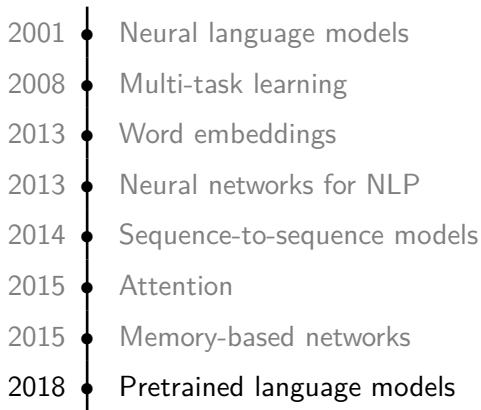
# Memory-based neural networks

- Attention can be seen as fuzzy memory
- Models with more explicit memory have been proposed
- Different variants: Neural Turing Machine [Graves et al., arXiv '14], Memory Networks [Weston et al., ICLR '15] and End-to-end Memory Networks [Sukhbaatar et al., NIPS '15], Dynamic Memory Networks [Kumar et al., ICML '16], Neural Differentiable Computer [Graves et al., Nature '16], Recurrent Entity Network [Henaff et al., ICLR '17]

# Memory-based neural networks

- Memory is typically accessed based on similarity to current state similar to attention; can be written to and read from
- End-to-end Memory Networks [Sukhbaatar et al., NIPS '15] process input multiple times and update memory
- Neural Turing Machines also have a location-based addressing; can learn simple computer programs like sorting
- Memory can be a knowledge base or populated based on input

# Timeline



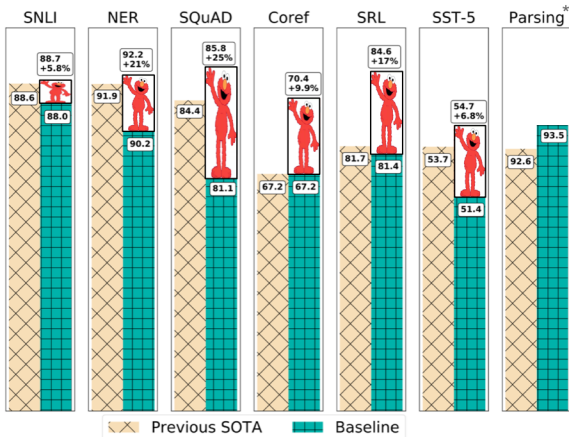


# Pretrained language models

- Word embeddings are context-agnostic, only used to initialize first layer
- Use better representations for initialization or as features
- Language models pretrained on a large corpus capture a lot of additional information
- Language model embeddings can be used as features in a target model [Peters et al., NAACL '18] or a language model can be fine-tuned on target task data [Howard & Ruder, ACL '18]

# Pretrained language models

- Adding language model embeddings gives a large improvement over state-of-the-art across many different tasks



\*Kitaev and Klein, ACL 2018 (see also Joshi et al., ACL 2018)

# Pretrained language models

- Enables learning models with significantly less data
- Additional benefit: Language models only require unlabelled data
- Enables application to low-resource languages where labelled data is scarce

# Other milestones

- Character-based representations
  - Use a CNN/LSTM over characters to obtain a character-based word representation
  - First used for sequence labelling tasks [Lample et al., NAACL '16; Plank et al., ACL '16]; now widely used
  - Even fully character-based NMT [Lee et al., TACL '17]
- Adversarial learning
  - Adversarial examples are becoming widely used [Jia & Liang, EMNLP '17]
  - (Virtual) adversarial training [Miyato et al., ICLR '17; Yasunaga et al., NAACL '18] and domain-adversarial loss [Ganin et al., JMLR '16; Kim et al., ACL '17] are useful forms of regularization
  - GANs are used, but not yet too effective for NLG [Semeniuta et al., '18]
- Reinforcement learning
  - Useful for tasks with a temporal dependency, e.g. selecting data [Fang & Cohn, EMNLP '17; Wu et al., NAACL '18] and dialogue [Liu et al., NAACL '18]
  - Also effective for directly optimizing a surrogate loss (ROUGE, BLEU) for summarization [Paulus et al., ICLR '18; ] or MT [Ranzato et al., ICLR '16]

# The Biggest Open Problems in NLP

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Sebastian  
Ruder



Jade  
Abbott



Stephan  
Gouws



Omoju  
Miller



Bernardt  
Duvenhage

# The biggest open problems: Answers from experts

Hal Daumé III   Barbara Plank   Miguel Ballesteros   Anders Søgaard  
Manaal Faruqi   Mikel Artetxe   Sebastian Riedel   Isabelle Augenstein  
Bernardt Duvenhage   Lea Frermann   Brink van der Merwe   Karen  
Livescu   Jan Buys   Kevin Gimpel   Christine de Kock   Alta de  
Waal   Michael Roth   Maletšabisa Molapo   Annie Louise   Chris Dyer  
Yoshua Bengio   Felix Hill   Kevin Knight   Richard Socher   George  
Dahl   Dirk Hovy   Kyunghyun Cho

We asked the experts:

**What are the three biggest open problems  
in NLP at the moment?**



# The biggest open problems in NLP

1. Natural language understanding
2. NLP for low-resource scenarios
3. Reasoning about large or multiple documents
4. Datasets, problems and evaluation

# Problem 1: Natural language understanding

- Many experts argued that this is central, also for generation
- Almost none of our current models have “real” understanding
- What (biases, structure) should we build explicitly into our models?
- Models should incorporate common sense
- Dialogue systems (and chat bots) were mentioned in several responses

# Problem 1: Natural language understanding

**Article:** Nicola Tesla

**Paragraph:** *In January 1880, two of Tesla's uncles put together enough money to help him leave Gospić for Prague where he was to study. Unfortunately, he arrived too late to enroll at Charles-Ferdinand University; he never studied Greek, a required subject; and he was illiterate in Czech, another required subject. Tesla did, however, attend lectures at the university, although, as an auditor, he did not receive grades for the courses.*

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**Question:** What city did Tesla move to in 1880?

**Answer:** Prague

**Model predicts:** Prague

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**Model predicts:** Chicago

# Problem 1: Natural language understanding

Article: **Nikola Tesla**

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Question: "What city did Tesla move to in 1880?"  
Answer: *Prague*  
Model Predicts: *Prague*

## AddAny

Randomly initialize  $d$  words:

spring attention income *getting* reached

↓ Greedily change one word

spring attention income *other* reached

↓ Repeat many times

Adversary Adds: **tesla move move other george**

Model Predicts: *george*

## AddSent

What city did *Tesla* move to in 1880? *Prague*

(Step 1)

Mutate

question

(Step 2)

Generate

fake answer

What city did *Tadakatsu* move to in 1881? *Chicago*

(Step 3)

Convert into

statement

*Tadakatsu* moved the city of *Chicago* to in 1881.

(Step 4)

Fix errors with crowdworkers, verify resulting sentences with other crowdworkers

Adversary Adds: **Tadakatsu moved to the city of Chicago in 1881.**

Model Predicts: *Chicago*

# Problem 1: Natural language understanding

I think the biggest open problems are all related to natural language understanding. . . **we should develop systems that read and understand text the way a person does**, by forming a representation of the world of the text, with the agents, objects, settings, and the relationships, goals, desires, and beliefs of the agents, and everything else that humans create to understand a piece of text. Until we can do that, all of our progress is in improving our systems' ability to do pattern matching. Pattern matching can be very effective for developing products and improving people's lives, so I don't want to denigrate it, but . . .



— *Kevin Gimpel*

# Problem 1: Natural language understanding

Questions to panellists/audience:

- To achieve NLU, is it important to build models that process language “the way a person does”? [Also see <https://www.abigailsee.com/2018/02/21/deep-learning-structure-and-innate-priors.html>]

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- Do we need inductive biases or can we expect models to learn everything from enough data?

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- Do we need inductive biases or can we expect models to learn everything from enough data?
- Questions from audience

## Problem 2: NLP for low-resource scenarios



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- **Generalisation beyond the training data** – relevant everywhere!

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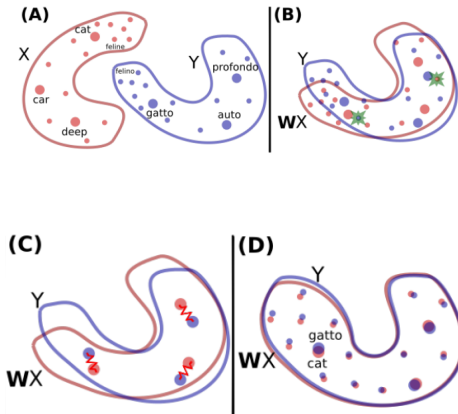
- **Generalisation beyond the training data** – relevant everywhere!
- Domain-transfer, transfer learning, multi-task learning
- Learning from small amounts of data  
[See e.g. <http://indigenoustweets.com/>]
- Semi-supervised, weakly-supervised, “Wiki-ly” supervised, distantly-supervised, lightly-supervised, minimally-supervised

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- Semi-supervised, weakly-supervised, “Wiki-ly” supervised, distantly-supervised, lightly-supervised, minimally-supervised
- Unsupervised learning

# Problem 2: NLP for low-resource scenarios

Word translation without parallel data:



# Problem 2: NLP for low-resource scenarios

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## Unsupervised Cross-Modal Alignment of Speech and Text Embedding Spaces

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### Abstract

Recent research has shown that word embedding spaces learned from text corpora of different languages can be aligned without any parallel data supervision. Inspired by the success in unsupervised cross-lingual word embeddings, in this paper we target learning a *cross-modal* alignment between the embedding spaces of speech and text learned from corpora of their respective modalities in an unsupervised fashion. The proposed framework learns the individual speech and text embedding spaces, and attempts to align the two spaces via adversarial training, followed by a refinement procedure. We show how our framework could be used

## Problem 2: NLP for low-resource scenarios

Questions to panellists/audience:

- Is it necessary to develop specialised NLP tools for specific languages, or is it enough to work on general NLP?

## Problem 2: NLP for low-resource scenarios

Questions to panellists/audience:

- Is it necessary to develop specialised NLP tools for specific languages, or is it enough to work on general NLP?
- *Since there is inherently only small amounts of text available for under-resourced languages, the benefits of NLP in such settings will also be limited. Agree or disagree?*



## Problem 2: NLP for low-resource scenarios

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- Unsupervised learning vs. transfer learning from high-resource languages?
- Questions from audience

## Problem 3: Reasoning about large or multiple documents

- Related to understanding
- How do we deal with large contexts?
- Can be either text or spoken documents
- Again incorporating common sense is essential

# Problem 3: Reasoning about large or multiple documents

Example from NarrativeQA dataset:

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**Title:** Ghostbusters II

**Question:** How is Oscar related to Dana?

**Answer:** her son

**Summary snippet:** ...Peter's former girlfriend Dana Barrett has had a son, Oscar...

**Story snippet:**

*DANA (setting the wheel brakes on the buggy)*  
Thank you, Frank. I'll get the hang of this eventually.

She continues digging in her purse while Frank leans over the buggy and makes funny faces at the baby, OSCAR, a very cute nine-month old boy.

*FRANK (to the baby)*  
Hiya, Oscar. What do you say, slugger?

*FRANK (to Dana)*  
That's a good-looking kid you got there, Ms. Barrett.

---



# Problem 3: Reasoning about large or multiple documents

Questions to panellists/audience:

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## Problem 4: Datasets, problems and evaluation

Perhaps the biggest problem is to **properly define the problems themselves**. And by properly defining a problem, I mean building **datasets and evaluation** procedures that are appropriate to measure our progress towards concrete goals. Things would be easier if we could reduce everything to Kaggle style competitions!



— *Mikel Artetxe*

... basic resources (e.g. stop word lists)

— *Alta de Waal*

# Problem 4: Datasets, problems and evaluation



## The RMA of SADiLaR

The Language Resource Management Agency is starting a new phase in its development as part of the South African Centre for Digital Language Resources (SADiLaR). SADiLaR will gradually take over the various responsibilities of the RMA, but all resources and service will remain active during this transition.



Read More



# Problem 4: Datasets, problems and evaluation

Questions to panellists/audience:

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- Questions from audience

We asked the experts a few more questions:

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**What, if anything, has led the field in the wrong direction?**

# What has led the field in the wrong direction?

- “Synthetic data/synthetic problems” — *Hal Daumé III*
- “Benchmark/leaderboard chasing” — *Sebastian Riedel*
- “Obsession of . . . beating the state of the art through “neural architecture search” — *Isabelle Augenstein*

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- “Too much emphasis on Bayesian methods (sorry :)” — *Karen Livescu*
- “Haha, as if the field as a whole moved in a single direction” — *Michael Roth*

# What has led the field in the wrong direction?

I don't think there is anything like that. We can learn from “wrong” directions and “correct” directions, if such a thing even exists.



— *Miguel Ballesteros*

Anything new will temporarily lead the field in the wrong direction, I guess, but upon returning, we may nevertheless have pushed research horizons.



— *Anders Søgaard*

Sentiment shared in many of the other responses

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**What advice would you give a postgraduate student in NLP starting their project now?**

# What advice would you give a postgraduate student in NLP starting their project now?

Do not limit yourself to reading NLP papers. **Read a lot** of machine learning, deep learning, reinforcement learning papers. A PhD is a great time in one's life to go for a **big goal**, and even small steps towards that will be valued.



— *Yoshua Bengio*

Learn how to tune your models, **learn how to make strong baselines**, and learn how to build baselines that test particular hypotheses. **Don't take any single paper too seriously**, wait for its conclusions to show up more than once.



— *George Dahl*

# What advice would you give a postgraduate student in NLP starting their project now?

i believe **scientific pursuit is meant to be full of failures.**

... if every idea works out, it's either (a) you're not ambitious enough, (b) you're subconsciously cheating yourself, or (c) you're a genius, the last of which i heard happens only once every century or so. so, don't despair!



— *Kyunghyun Cho*

Understand **psychology** and the core problems of semantic cognition. Read ... Go to CogSci. Understand **machine learning**. Go to NIPS. **Don't worry about ACL. Submit something terrible** (or even good, if possible) to a workshop as soon as you can. You can't learn how to do these things without going through the process.



— *Felix Hill*

# Summary of session

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- What is NLP? What are the major developments in the last few years?
- What are the biggest open problems in NLP?
- Get to know the local community and start thinking about collaborations
- We now have the closing ceremony, so eat and chat!